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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	Not yet assigned
Filing Date	April 21, 2004
First Named Inventor	Werner K. Goetz
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	LUM-03-07-04

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,630,692 B2	10-7-2003	Goetz et al.	
		US- 6,635,904 B2	10-21-2003	Goetz et al.	
		US- 6,285,696 B1	9-4-2001	Bour et al.	
		US- 6,265,289 B1	7-24-2001	Zheleva et al.	
		US- 6,599,362 B2	7-29-2003	Ashby et al.	
		US- 2003/0085409 A1	5-8-2003	Shen et al.	
		US- 6,455,337 B1	9-24-2002	Sverdlov	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 411243251 A	9-7-1999	Toshiba Corp		

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Sheet 1	of 1	Attorney Docket Number	LUM-03-07-04

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Shuji NAKAMURA et al., "InGaN/GaN/AlGaIn-based laser diodes with modulation-doped strained-layer superlattices grown on an epitaxially laterally overgrown GaN substrate," Appl. Phys. Lett. 72, (2), 12 January 1998, pp. 211-213.	
		D.M. FOLLSTAEDT et al., "Minimizing threading dislocations by redirection during cantilever epitaxial growth of GaN," Applied Physics Letters, Vol. 81, No. 15, 7 October 2002, pp.2758-2760.	

Examiner Signature		Date Considered	
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